



Docket No. 6517

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Robert Scott et al

Serial No.: 10/031,897

Group Art Unit: 1755

Filed: 1/22/2002

Examiner: Helene G. Klemanski

For: Pullulan Film Compositions

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449 submitted in accordance with 37 C.F.R §§ 1.98 (a) (1) and 1.98 (b). It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein.

While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R SECTION 1.10

I hereby certify that this correspondence and any documents referred to as enclosed are being deposited, pursuant to 37 C.F.R. Section 1.10, with the United States Postal Service with sufficient postage as Express Mail #EV 104996252 US in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 20th day of May, 2004.

By:

Christine Avenoso
Christine Avenoso

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney or agent of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. § 1.98 (a) (2), unless otherwise indicated herein, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed. It should be noted that English translations for certain Japanese references have been obtained from the Japanese Patent Office website. It is the undersigned's understanding that the translations are prepared by computer and not by human. Translations of the patent documents have been provided where available from the Japanese Patent Office website. Abstracts have been provided for all Japanese documents.

The following are also included, if applicable, and as required under 37 C.F.R. § 1.98:

- ____ In accordance with 37 C.F.R. § 1.98 (a) (3), the following concise explanation of the relevance of each listed reference that is not in the English language and is not accompanied by a translation into English or discussed in the present specification, is provided.
- ____ The following listed references are not enclosed because, under 37 C.F.R. § 1.98 (c), they are substantially cumulative of the ____ reference:
- ____ The following listed references are not enclosed because, under 37 C.F.R. § 1.98 (d), they were previously cited by or submitted to the Office in the ____ prior application, which is relied upon for an earlier filing date under 35 U.S.C. 120.

The certification specified in 37 C.F.R. § 1.97 (e), if required, is made below:

- ____ I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior

to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97 (e) (1).

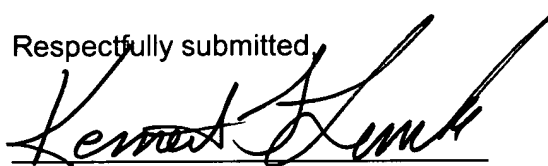
— I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97 (e) (2).

Please credit any overpayment or charge any additional fees to Deposit Account No.: 23-0455.

Date:

May 20, 2003

Respectfully submitted,



Kenneth G. Lemke
Reg. No. 47,746

Warner-Lambert Company LLC
201 Tabor Road
Morris Plains, NJ 07950
Phone 973 385-6243
Fax 973 385-3117
Customer No. 29668



| | | |
|--|----------------------------------|------------------------------|
| FORM PTO-1449 (Rev. 2-32) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | ATTY. DOCKET NO. 6517 | SERIAL NO. 10/031,897 |
| | APPLICANT Robert Scott et al. | |
| | FILING DATE 1/22/2002 | GROUP 1755 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLAS S | FILING DATE IF APPROPRIATE |
|---------------------|------|-----------------|----------|------------------|-------|--------------|-------------------------------|
| | | 4 6 2 3 3 9 4 | 11/18/86 | Nakamura et al.. | | | |
| | | 3 7 8 4 3 9 0 | 1/8/74 | Hijiya et al. | | | |
| | | 3 8 7 1 8 9 2 | 3/18/03 | Hijiya et al. | | | |
| | | 6 5 1 7 8 6 5 | 2/11/03 | Cade et al. | | | |
| | 2001 | 0 0 2 4 6 7 8 | 9/27/01 | Scott et al. | | | |
| | 2001 | 0 0 4 3 9 9 9 | 11/22/01 | Scott et al. | | | |
| | 2002 | 0 1 8 7 1 9 0 | 12/12/02 | Cade et al. | | | |
| | 2003 | 0 0 5 4 0 3 9 | 3/20/03 | Zyck et al.. | | | |
| | 2003 | 0 0 3 5 8 4 1 | 2/20/03 | Dzija et al. | | | |
| | | | | | | | |
| | | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLAS S | TRANSLATION | |
|--|------|-----------------|----------|---------|-------|--------------|-------------|----|
| | | | | | | | YES | NO |
| | | 9 9 3 3 9 2 4 | | PCT | | | | |
| | | 0 5 5 6 5 2 22 | 7/15/93 | JP | | | | |
| | 2000 | 0 2 0 2 0 0 3 | 7/25/00 | JP | | | | |
| | | 1 1 0 4 9 6 68 | 2/23/99 | JP | | | | |
| | | 7 2 2 3 9 5 2 | 8/22/95 | JP | | | | |
| | | 5 0 6 5 2 2 2 | 3/19/93 | JP | | | | |
| | | 4 3 6 3 3 3 2 | 12/16/92 | JP | | | | |
| | | 3 1 3 0 0 5 1 | 6/3/91 | JP | | | | |
| | | 3 0 5 3 8 7 3 | 3/7/91 | JP | | | | |
| | | 3 0 1 2 2 3 1 | 1/21/91 | JP | | | | |
| | | 2 0 1 5 0 2 6 | 1/18/90 | JP | | | | |
| | | 2 0 0 0 2 0 5 | 1/5/90 | JP | | | | |
| | | 1 1 6 8 6 1 9 | 7/4/89 | JP | | | | |
| | | 6 3 2 8 7 5 44 | 11/24/88 | JP | | | | |
| | | 6 3 1 6 4 8 58 | 7/8/88 | JP | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc)

| | | |
|--|--|--|
| | | |
| | | |
| | | |

| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ANY COPIES OF DOCUMENTS LISTED ON THIS FORM PTO-1449 SHOULD HAVE CERTAIN INFORMATION PLACED ALONG THE LEFT SIDE OF THE DOCUMENT. INFORMATION SUCH AS DOCKET NUMBER, FILING DATE, SERIAL NUMBER, ART UNIT, ETC.